

# approximation ratio.

$P(n) \rightarrow \max \left\{ \frac{c}{c^*}, \frac{c^*}{c} \right\}$ ,  $c$ : cost of  $n$ .  $c^*$ :  $n$  is optimal <sup>做法</sup> in cost

we call it  $P(n)$ -approximation algorithm.

$P(n)$  要取尽量接近 1 越好. ( $P(n) = 2$  可以活动了也可以, 但不意义)

approximation scheme. ( $\epsilon$ )-approximate algorithm

PTAS: polynomial-time approximation scheme 各项有时间的近似模拟.

对 fixed  $\epsilon$  都 run polynomial time

如  $O(n^{\frac{2}{\epsilon}})$ ,  $O((\frac{1}{\epsilon})^2 n^2) \rightarrow$  FPTAS, fully PTAS

$\downarrow$   
 $\epsilon$  很小,  $O(n^{\frac{2}{\epsilon}})$  会很慢.

# Binpack Problem NP Hard

Input:  $n$  items with size  $s_1, s_2, \dots, s_n$  ( $0 < s_i \leq 1$ )

Output: packing the items using fewest bins with unit capacity.

```

void NextFit ()
{
  read item1;
  while ( read item2 ) {
    if ( item2 can be packed in the same bin as item1 )
      place item2 in the bin;
    else
      create a new bin for item2;
      item1 = item2;
  } /* end-while */
}

```

**【Theorem】** Let  $M$  be the optimal number of bins required to pack a list  $I$  of items. Then *next fit* never uses more than  $2M - 1$  bins. There exist sequences such that *next fit* uses  $2M - 1$  bins.

1. Next Fit

$B_1, B_2, \dots, B_k$ , 每个  $B_i$  不一定能装满

但  $s(B_1) + s(B_2) = 1$  }  $\Rightarrow s(B_1) + \sum_{i=2}^{k-1} B_i + B_k > k-1$

$$s(B_2) + s(B_3) = 1$$

$$\vdots$$

$$\therefore \sum_{i=2}^{k-1} B_i > \frac{k-1}{2}$$

$$\Rightarrow \text{OPT} > \frac{k-1}{2}$$

$$s(B_k) + s(B_k) = 1 \quad \text{NF} = k \begin{cases} k \geq 2m & \text{OPT} \geq m \\ k = 2m+1 & \text{OPT} \geq m+1 \end{cases} \Rightarrow \frac{\text{NF}}{\text{OPT}} \leq 2$$

$\therefore$  it has an approx ratio of (at most) 2.

Given  $A$ , if for any instance  $I$ ,  $\max \left\{ \frac{A(I)}{\text{OPT}(I)}, \frac{\text{OPT}(I)}{A(I)} \right\} \leq \rho(I, I)$

We say  $A$  is a  $\rho(n)$ -approx dy.

$\hookrightarrow$  absolute approx ratio.

2. First Fit  $\downarrow$  进  $N = \}$ .

```
void FirstFit ()
{ while (read item) {
  scan for the first bin that is large enough for item;
  if (found)
    place item in that bin;
  else
    create a new bin for item;
} /* end-while */
}
```

Can be implemented  
in  $O(N \log N)$

**【Theorem】** Let  $M$  be the optimal number of bins required to pack a list  $I$  of items. Then *first fit* never uses more than  $17M/10$  bins. There exist sequences such that *first fit* uses  $17(M-1)/10$  bins.

3. Best Fit

在 First fit 基础上找 tightest bin

$T = O(N \log N)$  and bin.no  $\leq 1.7M$  近似比不变.

上述 3 种 algorithm: on-line algorithm  $\rightarrow$  can't change decision.

There are inputs that force any on-line bin-packing algorithm to use at

least  $5/3$  the optimal number of bins.

off-line algorithms.

#### 4. first (best) fit decreasing

可以排列 entire items

⇒ 对 item 排序

trouble maker: 大 item

first (best) fit decreasing

Let  $M$  be the optimal number of bins required to pack a list  $I$  of items.

Then first fit decreasing never uses more than  $11M/9 + 6/9$  bins.

# The Knapsack Problem - 0.1 version (不可拆分) NP-hard.

$n$  个物品,  $m$  weight 限制,  $P_i$  profit,  $w_i$  weight.

⇒ 要取 maximum profit: approximate ratio  $\approx 2$ .

dynamic programming

$W_{i,p}$  = 从  $\{1, \dots, i\}$  中取一段, 使 <sup>有</sup> minimum weight 和 total profit  $p$ .

① take  $i$ :  $W_{i,p} = w_i + W_{i-1, p-p_i}$

② skip  $i$ :  $W_{i,p} = W_{i-1,p}$

③ impossible to get  $p$ :  $W_{i,p} = \infty$

$$W_{i,p} = \begin{cases} \infty & i=0 \\ W_{i-1,p} & p_i > p \\ \min \{W_{i-1,p}, w_i + W_{i-1, p-p_i}\} & \end{cases}$$

$i = 1, \dots, n$ , 且  $p = 1, \dots, n p_{\max}$  ⇒  $O(n^2 p_{\max})$ .

⇒ 如果 profit 很大, 同时  $\leq 10w$  (比如) 则 small range

↓ 有精度损失.

$$(1+\epsilon) \text{Alg} \leq P, \quad \epsilon: \text{precision parameter.}$$

## # The K-center Problem.

Input: Set of  $n$  sites  $s_1, \dots, s_n$

Center selection problem: Select  $K$  centers  $C$  so that the maximum distance from a site to the nearest center is minimized.

distance:

$$d(x, x) = 0 \quad (\text{identity})$$

$$d(x, y) = d(y, x) \quad (\text{symmetry})$$

$$d(x, z) \leq d(x, y) + d(y, z) \quad \text{三角不等式.}$$

$\text{dist}(s_i, C) = \text{distance from } s_i \text{ to the closest center.}$

$r(C) = \text{smallest covering radius.}$

### 1. greedy

先找 best possible, 然后逐渐 add, 来减少 covering radius. X.

→  $C^*$ : optimal  $r(C^*) \leq r$  ( $r(C^*)$  已知)

```
Centers Greedy-2r ( Sites S[], int n, int K, double r )
{
  Sites S'[] = S[]; /* S' is the set of the remaining sites */
  Centers C[] = {};
  while ( S'[] != {} ) {
    Select any s from S' and add it to C;
    Delete all s' from S' that are at dist(s', s) ≤ 2r;
  } /* end-while */
  if ( |C| ≤ K ) return C;
  else ERROR(No set of K centers with covering radius at most r);
}
```

选一个点做center. 在它周边  $\geq r$   
范围内 remain 的点删去  
(即可覆盖)

**【Theorem】** Suppose the algorithm selects more than  $K$  centers. Then for any set  $C^*$  of size at most  $K$ , the covering radius is  $r(C^*) > r$ .

组  $r(C^*)$  ?

设  $0 < r < r_{max}$ ,  $r_{max} < \infty$  精, 2分法  $r = (l + r_{max}) / 2$

$\Rightarrow$  Yes:  $K$  centers found with  $2r$ ,  $\downarrow$   
No:  $r$   $\uparrow$

最后  $r_0 \leq r \leq r_1$ ,  $r = \frac{r_0 + r_1}{2}$

Solution radius =  $2r_1 \Rightarrow 2$  approximation

$\Rightarrow$  be far away (smarter)

```
Centers Greedy-Kcenter ( Sites S[ ], int n, int K )
{ Centers C[ ] =  $\emptyset$ ;
  Select any s from S and add it to C;
  while ( |C| < K ) {
    Select s from S with maximum dist(s, C);
    Add s to C;
  } /* end-while */
  return C;
}
```

找越远越好.

**【Theorem】** The algorithm returns a set  $C$  of  $K$  centers such that  $r(C) \leq 2r(C^*)$  where  $C^*$  is an optimal set of  $K$  centers.

—— 2-approximation

Unless  $P = NP$ , 不存在近似比  $< 2$  in solution.

Three aspects to be considered:

**A: Optimality** -- quality of a solution

**B: Efficiency** -- cost of computations

**C: All instances**

Researchers are working on

**A+C:** Exact algorithms for all instances

**A+B:** Exact and fast algorithms for special cases

**B+C:** Approximation algorithms

Even if  $P = NP$ , still we cannot guarantee **A+B+C**.